



WAK.097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent Application of:

Tarou KANEKO

Serial No.: 09/987,459

Group Art Unit: 2874

Filing Date: November 14, 2001

Examiner: Wong, Eric K.

For: ARRAYER WAVEGUIDE GRADING WITH OPTICAL INPUT AND OUTPUT CHARACTERISTICS SETTABLE TO DESIRED VALUES

Honorable Commissioner of Patents
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to Applicant's duty of disclosure under 37 CFR §1.56, Applicant respectfully brings the documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. These references were cited by the Examiner in an Office Action dated September 21, 2004, in divisional Application No. 10/452,256. Copies of the listed documents are provided herewith.

I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Docket No. WAK.097
Serial No. 09/987,459

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Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's
Deposit Account No. 50-0481.

Respectfully submitted,


Scott M. Tulino, Esq.
Registration No. 48,317

Sean M. McGinn, Esq.
Registration No. 34,386

McGinn & Gibb, PLLC
8321 Old Courthouse Rd. Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
NEC01P205-H1a

Application Number
09/987,459

Applicant(s)
Tarou Kaneko

Filing Date
November 14, 2001

Group Art Unit
2874

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|--------------------------------------|-----|-----------------|---------|---------------|--------|----------|----------------------------|
| | | US-6,389,201 | 05-2002 | Urino, Yutaka | 385/43 | | |
| OCT 05 2004 U.S. TRADEMARK OFFICE | | US-5,841,919 | 11-1998 | Akiba, et al. | 385/37 | | |
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U.S. PATENT APPLICATION PUBLICATIONS

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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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EXAMINER **DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.